

## Rapamycin Reduces Disease Activity and Normalizes T Cell Activation–Induced Calcium Fluxing in Patients With Systemic Lupus Erythematosus

David Fernandez, Eduardo Bonilla, Naureen Mirza, Brian Niland, and Andras Perl

**Objective.** Systemic lupus erythematosus (SLE) is an autoimmune disease of unknown origin. Current treatment options are often ineffective or poorly tolerated. Recent observations have revealed mitochondrial hyperpolarization and enhanced  $\text{Ca}^{2+}$  fluxing in T cells from SLE patients. Rapamycin, a lipophilic macrolide antibiotic that regulates mitochondrial transmembrane potential and  $\text{Ca}^{2+}$  fluxing, has been used safely and effectively to treat renal transplant rejection since 1999. In addition, rapamycin has been shown to ameliorate T cell function and to prolong survival in lupus-prone MRL/lpr mice. We therefore undertook the present study to investigate whether rapamycin is beneficial in patients with SLE.

**Methods.** Nine patients with clinically active SLE that had been treated unsuccessfully with other immunosuppressive medications began therapy with rapamycin, 2 mg/day orally. Disease activity was assessed with the British Isles Lupus Assessment Group (BILAG) score, SLE Disease Activity Index (SLEDAI), and requirement for prednisone therapy. Mitochondrial transmembrane potential and  $\text{Ca}^{2+}$  fluxing were assessed by flow cytometry.

**Results.** In patients treated with rapamycin, the BILAG score was reduced by a mean  $\pm$  SEM of  $1.93 \pm 0.9$  ( $P = 0.0218$ ), the SLEDAI by  $5.3 \pm 0.8$  ( $P = 0.00002$ ), and concurrent prednisone use by  $26.4 \pm 6.7$  mg/day ( $P = 0.0062$ ) compared with pre-rapamycin treatment. While mitochondrial hyperpolarization per-

sisted, pretreatment cytosolic and mitochondrial  $\text{Ca}^{2+}$  levels and T cell activation–induced rapid  $\text{Ca}^{2+}$  fluxing were normalized in rapamycin-treated patients.

**Conclusion.** Rapamycin appears to be a safe and effective therapy for SLE that has been refractory to traditional medications. Mitochondrial dysfunction and  $\text{Ca}^{2+}$  fluxing could serve as biomarkers to guide decisions regarding future therapeutic interventions in SLE.

Systemic lupus erythematosus (SLE) is a systemic autoimmune disease of unknown origin. It involves multiple organs including the joints, skin, kidneys, and central nervous system and is characterized by a waxing and waning course. We have previously discovered that mitochondrial dysfunction, characterized by elevation of the mitochondrial transmembrane potential and depletion of ATP, plays key roles in aberrant apoptosis and predisposition to necrosis, and likely significantly contributes to the inflammatory process in SLE (1). Recent observations have indicated that mitochondrial dysfunction is associated with enhanced  $\text{Ca}^{2+}$  fluxing in T lymphocytes from patients with SLE (2,3), providing potential new therapeutic targets (4–6).

Rapamycin (sirolimus) is a lipophilic macrolide isolated from a strain of *Streptomyces hygroscopicus*. The intracellular rapamycin receptor is a small, 12-kd FK-506 binding protein (FKBP12). The rapamycin–FKBP12 complex interacts with mammalian target of rapamycin (mTOR), a protein kinase that controls T cell activation (7), by influencing gene transcription and multiple metabolic pathways, including  $\text{Ca}^{2+}$  fluxing (8) and maintenance of the mitochondrial transmembrane potential (9). In lupus-prone MRL/lpr mice, rapamycin has been shown to normalize T cell mitogen-stimulated splenocyte proliferation and interleukin-2 production, prevent the typical rise in anti-double-stranded DNA antibody and urinary albumin levels and glomerulonephritis, and

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David Fernandez, BS, Eduardo Bonilla, MD, Naureen Mirza, MD, Brian Niland, PhD, Andras Perl, MD, PhD: State University of New York, Syracuse.

Address correspondence and reprint requests to Andras Perl, MD, PhD, Division of Rheumatology, Department of Medicine, State University of New York, 750 East Adams Street, Syracuse, NY 13210. E-mail: perla@upstate.edu.

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Table 1. Clinical characteristics of the 9 female SLE patients treated with rapamycin\*

Patient/ age/race	Duration of SLE, years	Clinical manifestations	Serologic features	Prednisone dosage, mg/day	Previous medications	Duration of rapamycin treatment, months	BILAG score/ SLEDAI score
1/43/W	15	Arthritis, RP, serositis, nephritis, rash, AVN	Anti-DNA, anti-RNP, aCL	40	HCO, MTX, AZA, CYC, MMF	48 (HCO, MMF)	5/2
2/52/W	5	Arthritis, leukopenia, AVN	Anti-DNA, aCL, low C3	20	HCO, MTX, MMF	25	2/0
3/26/W	7	Arthritis, oral ulcers, serositis, nephritis, thrombocytopenia AVN	Anti-DNA, aCL, low C3/C4	30	HCO, CYC, AZA, MMF, MTX	44 (HCO, MMF)	5/8
4/54/W	5	Arthritis, leukopenia	Anti-DNA, low C3/C4	0	HCO, MMF	16	1/0
5/18/W	2	Arthritis, pulmonary embolism, nephritis, serositis, rash, AVN	Anti-DNA, low C3/C4	60	HCO, CYC, MMF	11	1/0
6/21/W	6	Arthritis, oral ulcers, rash, pleuritis	Anti-DNA, low C3/C4	20	HCO, MMF	10	1/0
7/37/B	4	Arthritis, oral ulcers, hemolytic anemia, AVN	Low C3/C4	5	HCO, MMF	10 (MMF)	2/2
8/43/W	4	Arthritis, oral ulcers, rash, RP	-	20	HCO, MMF	6	5/2
9/43/W	12	Arthritis, anemia, thyroiditis	Anti-DNA	0	HCO, MMF	7	0/0

\* Age, duration of systemic lupus erythematosus (SLE), and prednisone dosage refer to the time of initiation of rapamycin therapy. Medications shown in parentheses in the "Duration of rapamycin treatment" column were continued during rapamycin therapy. Clinical manifestations and serologic features refer to the entire course of disease. British Isles Lupus Assessment Group (BILAG) and SLE Disease Activity Index (SLEDAI) scores reflect findings after treatment with rapamycin for the durations shown in the "Duration of rapamycin treatment" column. W = white; RP = Raynaud's phenomenon; AVN = avascular necrosis; aCL = anticardiolipin antibody; HCO = hydroxychloroquine; MTX = methotrexate; AZA = azathioprine; CYC = cyclophosphamide; MMF = mycophenolate mofetil; B = black.

prolong survival (10). Rapamycin has been used safely and effectively to treat renal transplant rejection since 1999 (11). We have prescribed rapamycin to treat 9 patients with clinically active SLE who were unable to tolerate or did not respond to other immunosuppressive medications. The results of this treatment after 6–48 months of followup are described herein.

## PATIENTS AND METHODS

**Patients.** Sixteen female patients with SLE fulfilling the American College of Rheumatology criteria (12) were studied. Nine of the patients received treatment with rapamycin, 2 mg/day orally. The other 7 were included as disease controls. As controls for *in vitro* studies, 7 age-matched healthy female subjects were also examined in parallel. Disease activity was assessed by the British Isles Lupus Assessment Group (BILAG) instrument (13) and the SLE Disease Activity Index (SLEDAI) (14).

**Cell culture and T cell activation.** Peripheral blood mononuclear cells were isolated from heparinized venous blood on Ficoll-Hypaque gradient. Peripheral blood lymphocytes (PBLs) were separated from monocytes by adherence to autologous serum-coated petri dishes (15). PBLs were resuspended at  $10^6$  cells/ml in RPMI 1640 medium supplemented with 10% fetal calf serum, 2 mM L-glutamine, 100 IU/ml penicillin, 100  $\mu$ g/ml streptomycin, and 0.25  $\mu$ g/ml amphotericin B, in 12-well plates at 37°C in a humidified atmosphere with 5% CO<sub>2</sub>. Crosslinking of the CD3 antigen was performed by precoating with 20  $\mu$ g/ml goat anti-mouse IgG (Sigma, St. Louis, MO) for 2 hours at 37°C, then washing twice with phosphate buffered saline and coating with 1  $\mu$ g/ml/well monoclonal antibody OKT3 (CRL 8001; American Type Culture Collection, Rockville, MD) for 1 hour at 37°C, followed by addition of PBLs. CD28 costimulation was performed by addition of 500 ng/ml monoclonal antibody CD28.2 (PharMingen, San Diego, CA). Rapid Ca<sup>2+</sup> signaling was investigated after direct addition of OKT3 and CD28.2 to PBLs preloaded with Fluo-3 (see below).

**Flow cytometric analysis of mitochondrial transmembrane potential.** Mitochondrial transmembrane potential ( $\Delta\Psi_m$ ) was estimated by staining with 1  $\mu$ M tetramethylrhodamine for 15 minutes at 37°C in the dark before flow cytometry (excitation 543 nm, emission 567 nm; recorded in fluorescence channel 2 [FL-2]) (3); it was also assessed by staining with 4 nm 3,3'-dihexyloxycarbocyanine iodide (DiOC<sub>6</sub>), a cationic lipophilic dye (15), for 15 minutes at 37°C in the dark before flow cytometry (excitation 488 nm, emission 525 nm; recorded in FL-1). Cotreatment with a protonophore, 5  $\mu$ M carbonyl cyanide m-chlorophenylhydrazone (Sigma), for 15 minutes at 37°C resulted in decreased tetramethylrhodamine and DiOC<sub>6</sub> (16,17). All fluorescent probes were obtained from Molecular Probes (Eugene, OR).

**Measurement of cytoplasmic and mitochondrial calcium levels.** Cytoplasmic calcium ([Ca<sup>2+</sup>]<sub>c</sub>) levels were assessed by loading the cells with 1  $\mu$ M Fluo-3/acetoxymethyl ester (AM) (excitation 506 nm, emission 526 nm; recorded in FL-1). After entering the cell, AM hydrolysis occurs, and thereafter, the dye is trapped in the cytosol. Fluo-3 elicits a

large increase in fluorescence intensity upon binding of calcium. To investigate early Ca<sup>2+</sup> fluxing, freshly isolated cells were prelabeled with Fluo-3 and stimulated with OKT3 and anti-CD28 monoclonal antibodies while Fluo-3 fluorescence of annexin V- cells was continuously recorded by flow cytometry. Ca<sup>2+</sup> levels 24 hours after CD3/CD28 costimulation were measured by staining with Fluo-3 at the termination of incubation, in parallel with staining with dyes monitoring  $\Delta\Psi_m$ , phosphatidylserine (PS) externalization, and T cell antigen expression. Mitochondrial calcium levels ([Ca<sup>2+</sup>]<sub>m</sub>) were estimated by loading the cells with 1  $\mu$ M Rhod-2/AM, which is compartmentalized into the mitochondria (18). Samples were analyzed using an LSRII flow cytometer (Becton Dickinson,

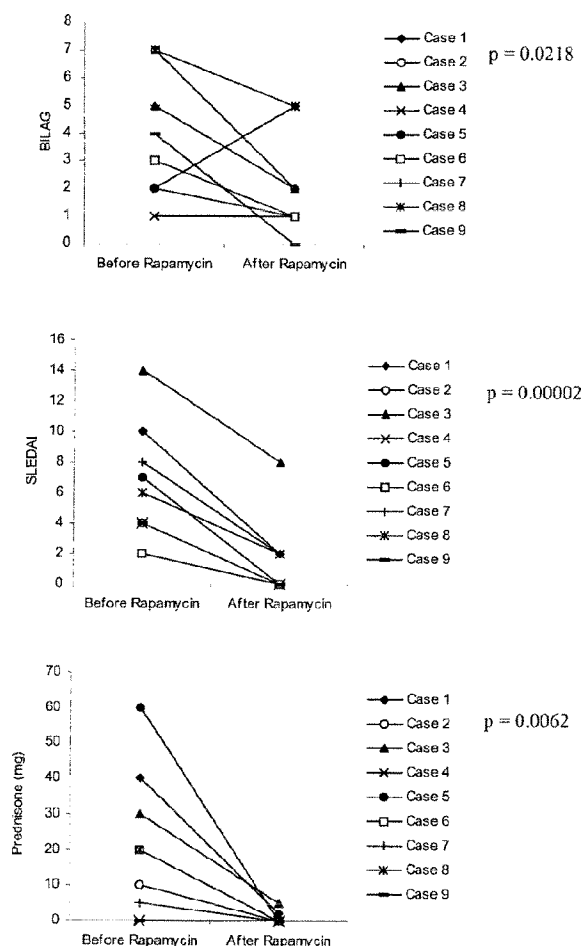
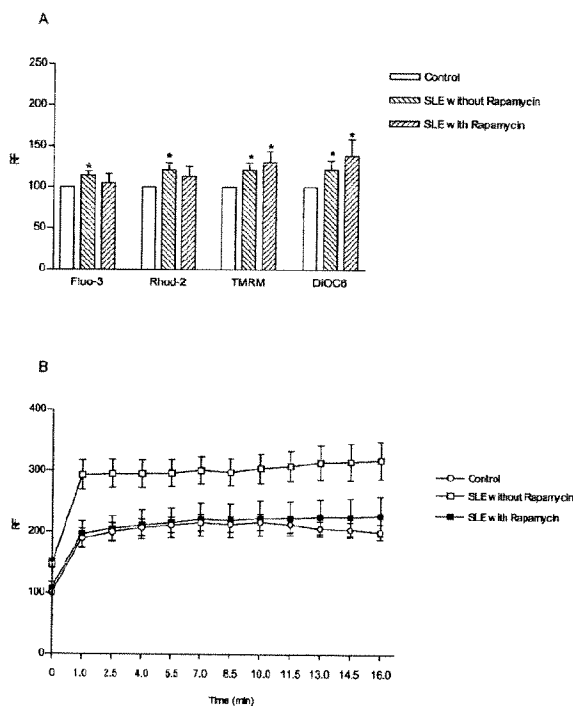


Figure 1. Effect of rapamycin on British Isles Lupus Assessment Group (BILAG) and Systemic Lupus Erythematosus Disease Activity Index (SLEDAI) scores and daily prednisone dosage in 9 patients with systemic lupus erythematosus. "After rapamycin" values correspond to the treatment durations shown in Table 1.

**Table 2.** Clinical characteristics of the 7 female SLE patients not treated with rapamycin, at the time of assessment of mitochondrial function and  $\text{Ca}^{2+}$  signaling\*

Patient/ age/race	Duration of SLE, years	Clinical manifestations	Serologic features	Prednisone dosage, mg/day	Other medications	BILAG score/ SLEDAI score
1/50/W	6	APS, arthritis, oral ulcers, RP	LAC	0	HCQ, MTX	5/2
2/58/W	10	Oral ulcers, APS, arthralgias	Low C3/C4	0	HCQ, dapsone	5/6
3/66/B	25	alopecia, thrombocytopenia, RP	Anti-SSA	0	AZA	2/2
4/20/W	1	APS, nephritis, myocarditis, thrombocytopenia	Low C3/C4, aCL, LAC, anti-DNA, antihistone	20	MMF, rituximab	13/6
5/48/W	12	Arthralgias, APS, malar rash, oral ulcers, RP, thrombocytopenia	Low C3	0	HCQ, MMF	4/2
6/63/W	10	Arthritis, oral ulcers	—	0	HCQ	4/4
7/66/B	1	Alopecia, pericarditis, oral ulcers	Anti-DNA	0	HCQ	2/0

\* APS = antiphospholipid syndrome; LAC = lupus anticoagulant (see Table 1 for other definitions).



**Figure 2.** A, Levels of cytoplasmic calcium (Fluo-3), mitochondrial calcium (Rhod-2), and mitochondrial transmembrane potential (tetramethylrhodamine [TMRM] and DiOC<sub>6</sub> fluorescence) in 7 healthy controls, 7 systemic lupus erythematosus (SLE) patients not treated with rapamycin, and 6 SLE patients treated with rapamycin. Values are the mean and SEM. \* =  $P < 0.05$  versus healthy controls. B, Rapid  $\text{Ca}^{2+}$  fluxing in CD3/CD28-costimulated peripheral blood lymphocytes (PBLs) from 7 healthy controls, 7 SLE patients not treated with rapamycin, and 6 SLE patients treated with rapamycin. PBLs were preloaded with Fluo-3 and stimulated with OKT3 and anti-CD28 monoclonal antibodies while Fluo-3 fluorescence of annexin V- T cells was continuously recorded by flow cytometry. Values are the mean  $\pm$  SEM relative fluorescence (RF) values relative to those in unstimulated cells (set at 100).

Mountain View, CA) equipped with 20 mW argon (emission 488 nm) and 16 mW helium-neon lasers (emission 634 nm). Using 4-color immunofluorescence, cytosolic and mitochondrial  $\text{Ca}^{2+}$  levels,  $\Delta\Psi_m$ , and PS externalization within T cell subsets were concurrently analyzed by parallel staining with 1) Fluo-3 or DiOC<sub>6</sub> (FL-1), 2) Rhod-2 or tetramethylrhodamine (FL-2), 3) annexin V-Alexa Fluor 647 (FL-5), and 4) allophycocyanin-Cy7-conjugated monoclonal antibody UCHL1 recognizing the CD3e chain (PharMingen) (FL-6). Dead cells and debris were excluded from the analysis by electronic gating of forward and side scatter measurements on 10,000 cells. In each experiment, SLE patient and control cells were studied in parallel.

**Statistical analysis.** Results were analyzed by Student's *t*-test. *P* values less than 0.05 were considered significant.

## RESULTS

Clinical features of the 9 SLE patients at the time of initiation of rapamycin treatment are shown in Table 1. Rapamycin was well tolerated and proved effective for control of disease activity in all 9 patients. Disease activity (by BILAG score and SLEDAI) and concurrent prednisone dosage at the time of rapamycin initiation and the last followup visit (after 6–48 months of treatment) are shown in Figure 1. The BILAG disease flare index was reduced in 7 patients, unchanged in 1 patient, and increased in 1 patient. In patient 1, the increase in the BILAG score (which is considered to be a highly sensitive instrument for detecting disease flares) was due to transient arthralgias during the last observation period, which did not require adjustment of the prednisone dosage. At the last followup, the mean  $\pm$  SEM reduction in the BILAG score in the 9 patients compared with pretreatment was  $1.93 \pm 0.9$  ( $P = 0.0218$ ). The SLEDAI was reduced by  $5.3 \pm 0.8$  (range 2–8) ( $P = 0.00002$ ). Three of the patients had cyclophosphamide-treated lupus nephritis. In all 3, the nephritis remained in remission throughout the period of rapamycin treat-

ment, with normal serum creatinine levels and urinary protein levels of <300 mg/24 hours.

At the time of rapamycin initiation, anti-DNA antibody titers and complement levels were elevated in 2 patients (patients 3 and 9). At followup, complement levels were normalized in both patients, and anti-DNA had become negative in patient 3. After 7 months of rapamycin administration, arthritis and fatigue were suppressed and the anti-DNA titer reduced from 1,111 IU/ml to 273 IU/ml (normal  $\leq 99$ ) in patient 9.

Prior to initiation of rapamycin therapy, prednisone treatment was required in 7 of the 9 patients (Figure 1). After clinical control was established and disease activity was reduced as determined by the BILAG and SLEDAI, prednisone at >10 mg/day was tapered by decrements of  $\leq 5$  mg in a 2-week period, prednisone at 5–10 mg/day was tapered by decrements of 1 mg in a 2-week period, and prednisone at <5 mg/day was tapered by decrements of 1 mg in a 4-week period. After treatment with rapamycin, the mean  $\pm$  SEM reduction in the daily dosage of prednisone in the 7 patients was  $26.4 \pm 6.7$  mg ( $P = 0.0062$ ).

Clinical features of the 7 control SLE patients not treated with rapamycin are shown in Table 2. The disease activity scores of these patients were higher than those of patients who had received 6–48 months of rapamycin treatment (mean BILAG score 5.00 versus 2.11 [ $P = 0.02$ ]; mean SLEDAI score 3.14 versus 1.55 [ $P = 0.11$ ]). These observations are also consistent with the notion that rapamycin treatment is beneficial in SLE.

T cells from 7 healthy controls, 7 SLE controls, and 6 rapamycin-treated SLE patients were used for studies of  $\text{Ca}^{2+}$  signaling and mitochondrial transmembrane potential. While mitochondrial hyperpolarization (MHP) persisted (Figure 2A), baseline  $[\text{Ca}^{2+}]_c$  and  $[\text{Ca}^{2+}]_m$  and T cell activation-induced rapid  $\text{Ca}^{2+}$  fluxing were normalized in rapamycin-treated patients (Figure 2B). T cells from SLE patients not receiving rapamycin showed significantly elevated  $\text{Ca}^{2+}$  levels at each time point, with  $P$  values versus levels in healthy controls ranging between 0.0008 at time 0 to 0.023 at 16 minutes. In contrast, the level of CD3/CD28-induced  $\text{Ca}^{2+}$  fluxing in T cells from rapamycin-treated SLE patients was not significantly different from that in cells from healthy donors.

## DISCUSSION

Findings in this study of 9 rapamycin-treated patients indicate that rapamycin can effectively control disease activity in SLE. Arthritis improved in all 9

patients, and cyclophosphamide-treated nephritis in 3 patients remained in remission during rapamycin treatment. The single daily oral administration and small size of the pill were liked and well-tolerated by all patients. None of the patients discontinued the drug due to lack of efficacy or adverse effects.

Lupus T cells exhibit mitochondrial dysfunction, resulting in altered  $\text{Ca}^{2+}$  fluxing and predisposition to a necrotic, rather than apoptotic, form of cell death (1). Increased necrosis may initiate a proinflammatory state and production of interferon in SLE (19). Compared with cells from rheumatoid arthritis patients and healthy controls, T cells from patients with SLE exhibit elevated  $\Delta\Psi_m$  or MHP (1,20) and enhanced  $\text{Ca}^{2+}$  fluxing upon CD3/CD28 costimulation (2,21). MHP is associated with increased mitochondrial mass in lupus T cells (21). Mitochondria can take up, store, and release  $\text{Ca}^{2+}$  and play a substantial role in shaping  $\text{Ca}^{2+}$  signals in many cell types (18,22), including human T lymphocytes (3). Due to its effects on  $\Delta\Psi_m$  (9) and  $\text{Ca}^{2+}$  fluxing (8), rapamycin may be particularly effective for the treatment of SLE. Therefore, we examined  $\Delta\Psi_m$ ,  $[\text{Ca}^{2+}]_c$ ,  $[\text{Ca}^{2+}]_m$ , and CD3/CD28-induced  $\text{Ca}^{2+}$  fluxing in T cells from 6 rapamycin-treated patients with SLE. The remarkable impact of rapamycin on T cell activation-induced rapid  $\text{Ca}^{2+}$  fluxing is consistent with recent observations on the role of mTOR in regulating inositol triphosphate receptor-induced  $\text{Ca}^{2+}$  release from the endoplasmic reticulum (23). Such  $\text{Ca}^{2+}$  release is necessary for the operation of  $\text{Ca}^{2+}$  release-activated  $\text{Ca}^{2+}$  channels in the plasma membrane (24). These findings suggest that the therapeutic effect of rapamycin may be related to its selective effect on  $\text{Ca}^{2+}$  fluxing without influencing MHP of lupus T cells.

In summary, rapamycin has shown positive effects in murine lupus, and, although the investigation included only a very small number of patients, the patients in the present study, whose SLE had been refractory to most traditional treatments, benefited from rapamycin therapy. T cell activation-induced rapid  $\text{Ca}^{2+}$  fluxing was normalized and could serve as a biomarker of response in rapamycin-treated patients. While additional research is clearly needed to explain its mechanism of action, prospective double-blind and placebo-controlled clinical studies for establishing a role of rapamycin in treatment of SLE seem warranted.

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